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Date: December \_ 5 , 2002

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Hisatoshi Hirota

Group Art Unit 3746

Serial No.:

09/541,779

Docket No. 133.046

Filed:

April 3, 2000

Confirmation No. 4974

For:

Capacity Controller of Capacity Variable Compressor

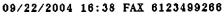
# SUBSTITUTION OF ATTORNEY

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

I hereby appoint the following attorneys/agents to prosecute the above-identified application and to transact all business in the U.S. Patent and Trademark Office connected therewith:

James H. Patterson, Reg. No. 30,673; John F. Thuente, Reg. No. 29,595; Wm. Larry Alexander, Reg. No. 37,269; Kimberly K. Baxter, Reg. No. 40,504; Eric H. Chadwick, Reg. No. 41,664; Randall T. Skaar, Reg. No. 42,151; Douglas J. Christensen, Reg. No. 35,480; Curtis B. Herbert, Reg. No. 45,443; Michael A. Bondi, Reg. No. 39,616; Paul C. Onderick, Reg. No. 45,354; Brad D. Pedersen, Reg. No. 32,432; Scott G. Ulbrich, Reg. No. 48,005; David A. Olsen, Reg. No. 46,969; Peter S. Dardi, Reg. No. 39,650; Jose W. Jimenez, Reg. No. 31,113; Matthew T. Macari, Reg. No. 50,291; Thomas G. Dickson, Reg. No. 51,616; Bradley J. Thorson, Reg. No. 52,288; Plymouth D. Nelson, Reg. No. 48,172; Julie A. Zavoral, Reg. No. 43,304; and Thaddeus C. Stankowski, Reg. No. 45,522.



### SUBSTITUTION OF ATTORNEY

U.S. Serial No. 09/541,779 Inventor: Hirota; Art Unit 3746 Attorney Docket 133.046 Page 2

Please forward all correspondence to the address listed below:

Patterson, Thuente, Skaar & Christensen, L.L.C. US Bank Building, Suite 2000 777 East Wisconsin Avenue Milwaukee, WI 53202 Telephone: 414-276-0977

Facsimile: 414-276-0982

All previous powers of attorney granted in this case are hereby revoked.

Respectfully submitted. James Z. Nillas

James E. Nilles Attorney of Record Registration No. 16,663

Date: December 5, 2002

NILLES & NILLES, S.C. U.S. Bank Center, Suite 2000 777 East Wisconsin Avenue Milwaukee, WI 53202 Telephone (414) 276-0977 Facsimile (414) 276-0982

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Pages - 2

Date: June 5, 2002

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Hisatoshi Hirota

Group Art Unit 3746

Serial No.:

09/541,779

Examiner: T. Solak

Filing Date: April 3, 2000

Docket No. 133,046

For:

Capacity Controller of Capacity Variable Compresssor

Customer No. 023907

#### STATUS INQUIRY

Attention: Office of Petitions Assistant Commissioner for Patents Box DAC

Washington, DC 20231

Sir:

Applicant respectfully requests the status of the above application and when an action will be issued concerning the documents that were filed in the U.S. Parent Office by facsimile on March 11, 2002. The documents transmitted included:

- l) Pedition to Withdraw the Holding of Abandonment Under 37 CFR 1.181(a).
- Z Preliminary Amendment (seven pages) originally faxed to the U.S. Patent Office on April 30, 2001.
- Fax sheet confirming 007/07 pages of Preliminary Amendment was faxed to the 3) U.S. Patent Office.

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office, Attention: Office of Petitions, on the date shown below.

Facsimile: 703-308-6916

Pages - 2

Date: June 5, 2002

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In re Application of: Hisatoshi Hirota

Group Art Unit 3746

Serial No.:

09/541,779

Examiner: T. Solak

Filing Date: April 3, 2000

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For:

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Serial No. 09/541,779 - Hirota Art Unit 3746 - Attorney Docket 133.046 Page 2

The Commissioner is hereby authorized to deduct any additional fees arising as a result of this or any other communication from or to credit any overpayments to Deposit Account No. 14-1080.

Respectfully submitted,

ames E. Miller

James E. Nilles

Registration No. 16,663

Dated: June 5, 2002

NILLES & NILLES, S.C. U.S. Bank Center, Suite 2000 777 East Wisconsin Avenue Milwaukee, WI 53202 Telephone: (414) 276-0977 Facsimile: (414) 276-0982

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#### PATTERSON THUENTE SKAAR

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# NILLES & NILLES ...

IAMES E. NILLES S. MICHAEL PATTON LINDA E.B. HANSEN

CHARLOTTE SHAFTED THADDEUS C. STANKOWSKI INTELLECTUAL PROPERTY ATTORNEYS

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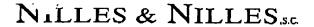
т <b>о</b> :	Office of Petitions		PROM:	James E. Nilles	
COMPANY:	U.S. Patent Office		DATE:	March 11, 2002	
FAX NO.:	703-308-6916		PAGES:	13 (Including cover sheet)	
RE:	U.S. Ser. No. 09/541,779 Attorney Docket 133.046		OPERATOR:	Diane Schwaiger	
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Sir:

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- Preliminary Amendment (seven pages) faxed to U.S. Patent Office on April 30, 2001 2)
- Fax sheet confirming 007/007 pages of Preliminary Amendment sent 3)

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JAMES B. NILLES
S. MICHAEL PATTON
LINDA E.B. HANSEN

CHARLOTTE SHAPIRO
THADDEUS C. STANKOWSKI

INTELLECTUAL PROPERTY ATTORNEYS

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TELEPHONE: 414.276.0977

FACSIMILE: 414.276.0982

EMAIL: jnilles@nilles-nilles.com

FACSIMILE TRANSMITTAL SHEET								
то:	Office of Petitions	FROM:	James E. Nilles					
COMPANY:	U.S. Patent Office	DATE:	March 11, 2002					
FAX NO.:	703-308-6916	PAGES:	: 13 (Including cover sheet)					
RE:	U.S. Ser. No. 09/5 Attorney Docket 13	· ·	TOR: Diane Schwaiger					
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□ Urgent	☐ For Review	☐ Please Comment	☐ Please Reply ☐ Please Recycle					

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PATTERSON THUENTE SKAAR

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Facsimile: 703-308-6916

Pages - 13 (Including cover sheet)

Date: March 11, 2002

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Hisatoshi Hirota

Group Art Unit 3746

Serial No.:

09/541,779

Examiner: T. Solak

Filing Date: April 3, 2000

Docket No. 133.046

For:

Capacity Controller of Capacity Variable Compresssor

Customer No. 023907

# PETITION TO WITHDRAW THE HOLDING OF ABANDONMENT UNDER 37 C.F.R 1.181(a)

Attention: Office of Petitions Assistant Commissioner for Patents Box DAC Washington, DC 20231

Sir:

In response to the Notice of Abandonment, date February 25, 2002, Applicant requests the withdrawal of the holding of abandonment under 37 C.F.R. 1.181(a). In particular, Applicant asserts the Application was not properly held abandoned.

According to the Notice of Abandonment, the Application is abandoned in view of Applicant's failure to timely file a proper reply to the Office letter mailed on May 9, 2001 because no reply has been received. However, Applicant submits that there is no reply required by the Office letter mailed on May 9, 2001 for at least four reasons. First, there is no Office action requiring a response. Second, the Interview Summary is not a proper Office

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Serial No. 09/541,779 - Hirota Art Unit 3746 - Attorney Docket 133.046 Page 2

communication requiring a response. Third, the comments in the Interview Summary do not affirmatively require a response. Fourth, the only communication possibly requested by the Interview Summary was in fact filed in the U.S. Patent and Trademark Office.

First, there is no Office action requiring a response. More particularly, the Office letter mentioned in the Notice of Abandonment is an Interview Summary. The only possible indication in the Interview Summary of a possible required response is that it does not have box 2(i) checked. The Interview Summary goes on to state that "unless the paragraph above has been checked, the formal written reply to the last Office action must include the substance of the interview... If a reply to the last Office action has already been filed, Applicant is given one month from this interview date to file a statement of the substance of the interview." However, there is no "last Office action" requiring a response. The only communication received regarding any possible examination is the Interview Summary itself. However, as further discussed below, the Interview Summary itself does not require a response. The only mention of a response in the Interview Summary refers to a nonexistent "last Office action." Thus, there is no response required.

Second, the Interview Summary is not a proper Office communication requiring a response. In particular, the Interview Summary fails to comply with MPEP §713.04. More particularly, the Interview Summary expressly states that the form must be signed unless it is an attachment to a signed Office action. The Interview Summary does not contain the signature of the Examiner who conducted the interview. Furthermore, the Interview Summary is not an

Serial No. 09/541,779 - Hirota Art Unit 3746 - Attorney Docket 133.046 Page 3

attachment to a signed Office action. Accordingly, the Interview Summary is not a proper Office communication requiring a response.

Third, the comments in the Interview Summary do not affirmatively require a response. The comments only mention the substance of a telephonic communication on April 27, 2001. The comments only state Applicant was informed, "claims 1-3 were narrative in nature and lacked structure and (method) claims 8-9 lacked steps" and that Applicant informed the Examiner "he would fax a pre-amendment." Thus, the Interview Summary only stated information conveyed to Applicant and it only further stated Applicant's intentions. The Interview Summary did not state a requirement for a response. Therefore, the Interview Summary did not require a response.

Fourth, Applicant submits that the only communication possibly requested by the Interview Summary was in fact filed in the U.S. Patent and Trademark Office. In particular, the enclosed Preliminary Amendment noted in the Interview Summary was in fact filed on April 30, 2001. The Preliminary Amendment did in fact include the substance of the telephonic communication on April 27, 3001. Furthermore, the Preliminary Amendment contains a Certificate of Facsimile signed by Angela M. Weinstock certifying that the Preliminary Amendment was sent by facsimile to Examiner T. Solak at telephone number 703-308-7763 on April 30, 2001. Additionally, Applicant encloses the Facsimile Confirmation Transmission confirming receipt of the facsimile transmission including 7 pages at telephone number 703-308-7763 on April 30, 2001. Thus, the Preliminary Amendment noted in the Interview Summary was in fact filed in the U.S. Patent and Trademark Office. Accordingly, the only communication

Serial No. 09/541,779 - Hirota Art Unit 3746 - Attorney Docket 133.046 Page 4

possibly requested by the Interview Summary was in fact filed in the U.S. Patent and Trademark Office.

Thus, the Application was not properly held abandoned. Accordingly, Applicant requests the withdrawal of the holding of abandonment.

Should the Examiner have any further questions or comments, the Examiner is invited to contact Applicants' undersigned representative at the telephone number listed below.

The Commissioner is hereby authorized to deduct any additional fees arising as a result of this or any other communication from or to credit any overpayments to Deposit Account No. 14-1080.

Respectfully submitted,

James F. Willia

James E. Nilles

Registration No. 16,663

Dated: March 11, 2002

NILLES & NILLES, S.C. Firstar Center, Suite 2000 777 East Wisconsin Avenue Milwaukee, WI 53202 Telephone: (414) 276-0977 Facsimile: (414) 276-0982

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CHARLOTTE SHAPIRO THADDEUS C. STANKOWSKI MATTHEW C. LOPPNOW TELEPHONE: 414.276.0977

FACSIMILE: 414.276.0982

EMAIL: [Click here and type email]

@nilles-nilles.com

REGISTERED PATENT AGENTS: LISA A. BRZYCKI MATTHEW M. ESLAMI, P.B.

NOTES:

FACSIMILE TRANSMITTAL SHEET								
Examiner T. Solak		FROM:	James E. Nilles					
US Patent and T	rademark Office	DATE:	April 30, 2001					
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